



*the* MANHATTAN DISTRICT ATTORNEY'S

# CRIMINAL JUSTICE INVESTMENT INITIATIVE

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*Citizens Budget Commission*

*October 26, 2016*

PRESENTED BY THE MANHATTAN DISTRICT ATTORNEY'S OFFICE

## BACKGROUND

Since 2009, the Manhattan DA's Office and its partners have obtained nearly **\$12 billion** through settlements with financial institutions known as deferred prosecution agreements.

Over this period, the DA's Office has contributed **\$3 billion** to the New York City and State treasuries.

*(\$1.02B to NYC, \$1.97B to NYS)*

Funds retained by the Manhattan DA's Office, amounting to approximately **\$807 million**, form the **Criminal Justice Investment Fund**.

## EXAMPLE: BNP PARIBAS

The deferred prosecution agreement reached with **BNP Paribas Bank** was the largest-ever fine paid by a bank for violations of U.S. sanctions, totaling a record **\$8.83 billion**.

As the agency responsible for initiating the investigation, the Manhattan DA's Office became the legal steward for **\$2.2 billion** in settlement funds, divided according to state statute. (*Civil statute 13-A*)

## DISTRIBUTION | Major bank settlements since 2009

	NYS	NYC	DA's Office	Total Local	Distribution Authority
Lloyds	\$ 109,000,000	\$ 66,000,000	\$ 6,965,000	\$ 181,965,000	Manhattan DA's Office
Credit Suisse	\$ 129,722,500	\$ 129,722,500	\$ 8,563,793	\$ 268,008,793	DPA Provision in the State Budget Bill
Barclays	\$ 70,817,500	\$ 70,817,500	\$ 7,365,000	\$ 149,000,000	DPA Provision in the State Budget Bill
ING	\$ 150,265,000	\$ 150,265,000	\$ 8,970,000	\$ 309,500,000	DPA Provision in the State Budget Bill
HSBC- OFAC	-	-	\$ 168,691,206	\$ 168,691,206	DOJ Federal Equitable Sharing Program
HSBC- AML	-	-	\$ 77,000,000	\$ 77,000,000	DOT Federal Equitable Sharing Program
Standard Chartered	-	-	\$ 113,500,000	\$ 113,500,000	DOJ Federal Equitable Sharing Program
BNPP*	\$ 1,347,888,000	\$ 446,832,000	\$ 448,680,000	\$ 2,243,400,000	State Forfeiture Statute (CPLR 13A)
Commerzbank	\$ 81,707,500	\$ 81,707,500	\$ 7,585,000	\$ 171,000,000	DPA Provision in the State Budget Bill
Crédit Agricole	\$ 74,282,500	\$ 74,282,500	\$ 7,435,000	\$ 156,000,000	DPA Provision in the State Budget Bill
Met Life	\$ 4,500,000	\$ 4,500,000	\$ 1,000,000	\$ 10,000,000	DPA Provision in the State Budget Bill
<b>Total</b>	<b>\$ 1,968,183,000</b>	<b>\$ 1,024,127,000</b>	<b>\$ 855,754,999</b>	<b>\$3,819,644,641</b>	

\* As part of the settlement agreement with BNP Paribas, the NYS Office of Alcohol and Substance Abuse Services (OASAS) received \$297.9 million.

## OPPORTUNITY

The unprecedented funding made available to the DA's Office through the **Federal Equitable Sharing Program** and New York State statutes represents a once-in-a-generation opportunity to make transformative and lasting investments in criminal justice and public safety.

Beyond plugging budget gaps, **targeted and strategic investments** in public safety can reduce the number of people who come into contact with the criminal justice system in the first place.

These investments also have the potential to generate **long-term budget savings**, by preventing crime, reducing recidivism, and enhancing alternatives to incarceration.

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*Investing in impactful, sustainable, and data-driven ideas to improve the criminal justice system and public safety in New York City*

## GOALS:

- Support the mission of the Manhattan DA's Office by improving public safety and enhancing fairness
- Promote collaboration between law enforcement and community partners
- Ensure efficiency in the criminal justice system
- Support interventions with measurable results that can be vigorously tested
- Comply with state and federal asset forfeiture guidelines

## DECISION - MAKING

The Manhattan DA's Office worked collaboratively with government partners – including the **Mayor's Office, Governor's Office, and City Council** – to identify and support their priorities.

In 2015, the Manhattan DA's Office partnered with the **CUNY Institute for State and Local Governance** to develop funding recommendations and conduct program oversight. More than 250 stakeholders were consulted on recommendations for a strategic plan, through which grantees are selected through a public, competitive solicitation process conducted by a multidisciplinary selection committee.



## O V E R S I G H T

- ✓ All of the funds are deposited into accounts registered with the NYC Department of Finance and subject to audit by the NYC Comptroller.
- ✓ All balances and bank transactions are visible to the Department of Finance and NYC Comptroller.
- ✓ Allowable expenditures are determined by statute or guidelines associated with the program under which the funds are recovered.
- ✓ Federal and state forfeiture expenditures are subject to review by an independent auditing firm.

# INVESTMENTS TO DATE:

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*Law Enforcement Initiatives*

*Victim Services*

*Criminal Justice Reform*

*Crime Prevention*

## *Law Enforcement Initiatives*

Invest in 21<sup>st</sup> century crime-fighting efforts that will improve technology and the analytic capacity of law enforcement agencies to increase public safety

### **\$101 million: NYCHA security upgrades**

Providing key infrastructure enhancements, including exterior permanent lighting, security cameras, and layered access.

### **\$90 million: NYPD Mobility Initiative**

Deploying more than 40,000 mobile devices, including tablet computers and handheld devices, and enhancing fiber connectivity at local precincts.

### **\$25 million: Global Cyber Alliance**

Funding open-source tools to combat cyber risk.

### **\$500,000: Video recording interrogations**

Funding technology and resources to record statements made to members of law enforcement.

## *Victim Services*

Develop new and enhanced approaches for working with victims of crime to increase access to services and respond to victims' needs

### **\$38 million: Sexual Assault Backlog Elimination Program**

Providing grants for jurisdictions across the U.S. to process backlogs of untested sexual assault kits and help solve crimes across state lines.

### **\$11.4 million: Special Needs Services**

Released a solicitation funding programs supporting greater access to services for victims with special needs.

### **\$1.1 million: Child Trauma Treatment Program**

In coordination with the Mayor's Office of Criminal Justice, funding Safe Horizon to deliver evidence-based post-traumatic stress treatment to young people who witness domestic violence.

## *Criminal Justice System Reform*

Increase support throughout  
the justice system to  
effectively address the needs  
and risks of young adults and  
adults

### **\$10.6 million: Project Reset**

Pre-arraignment diversion program for eligible 16 and 17-year-olds arrested for low-level crimes, planned for county-wide expansion for New Yorkers of all ages.

### **\$1.5 million: Community Navigators**

Pilot network designed to guide at-risk individuals to appropriate services, programs, and resources.

### **\$7.3 million: Social Enterprises**

Released a solicitation funding the creation or scaling up of social enterprises.

### **\$41 million: Mental Health**

Funding initiatives in support of the Mayor's Task Force on Behavioral Health and Criminal Justice

### **\$7.5 million: College in Prison**

Funding educational programming at New York State prisons to help inmates earn college degrees

## *Crime Prevention*

Build skills and support systems among youth, families, and communities to prevent crime

### **\$5.3 million: Youth Aging Out of Foster Care**

Developing programming to support educational, employment, and housing needs of young people ages 16-to-24 exiting the child welfare system without traditional support from family members.

### **\$51.5 million: Youth Opportunity Hubs**

Building support networks and physical spaces for young people to address education, mentoring, and mental health needs.

### **\$13 million: Youth and Family Development**

Supporting the development of programs for youth and their families with an elevated risk of future contact with the criminal justice system.

## NEXT STEPS

- Continue to release competitive solicitations for the next 6-to-12 months.
- Develop a research strategy to rigorously evaluate programming funded through the Criminal Justice Investment Initiative, in collaboration with the CUNY Institute for State and Local Governance.
- Work with City policymakers to sustain and replicate efforts with a demonstrated record of success.



GET INVOLVED | Information about grants and funding is available at [cjii.org](https://cjii.org)



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District Attorney Vance's CJII is investing across several thematic areas including

- youth, families, and communities
- victims of crime
- diversion and reentry support
- enhancing effectiveness across systems

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